FORM PTO-1449

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

> (Use several sheets if necessary) JAN 1 2 2007

ATTY. DOC NO. 244/299

APPLICANT: Heller et al.

FILING DATE: July 22, 1999

			U.S. PATENT	DOCUMENTS	 -		
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBC LASS	FILINGDATE
AM	AA	3,950,738	4/76	Hayashi et al.	365	185	7/74
1	AB	3,995,190	11/76	Salgo	313	391	12/75
	AC	4,283,773	8/81	Daughton et al.	364	132	4/79
	AD	4,537,681	8/85	Elings et al.	436	518	2/83
	AE	4,563,419	1/86	Ranki et al.	435	6	12/83
	AF	4,580,895	4/86	Patel	356	39	10/83
	AG	4,584,075	4/86	Goldstein	204	522	11/84
	AH	4,594,135	6/86	Goldstein	204	551	2/85
	AI	4,751,177	6/88	Stabinsky	435	6	6/85
	AJ	4,787,963	11/88	MacConnell	204	450	5/87
	AK	4,807,161	2/89	Comfort et al.	364	550	12/87
	AL	4,816,418	3/89	Mack et al.	436	518	7/85
	AM	4,822,566	4/89	Newman	422	82	5/87
	AN	4,828,979	5/89	Klevan et al.	435	6	11/84
	AO	4,908,112	3/90	Pace	210	198	6/88
	AP	5,063,081	11/91	Cozzette et al.	435	4	8/90
	AQ	5,074,977	12/91	Cheung et al.	205 -	775	10/90
	AR	5,075,077	12/91	Durley, III et al.	422	56	8/88
	AS	5,096,669	3/92	Lauks et al.	422	61 .	9/88
	AT	5,096,807	3/92	Leaback	435	6	12/89
	AU	5,125,748	6/92	Bjornson et al.	356	414	5/91
	AV	5,126,022	6/92	Soane et al.	204	458	2/90
	AW	5,143,854	9/92	Pirrung et al.	436	518	3/90
	AX	5,164,319	11/92	Hafeman et al.	435	287	11/89
	AY	5,166,063	11/92	Johnson	435	173	6/90
	AZ	5,200,051	4/93	Cozzette et al.	204	403	11/89
	BA	5,202,231	4/93	Drmanac et al.	435	6	6/91
	BB	5,219,726	6/93	Evans	435	6	6/89
	BC	5,227,265	7/93	DeBoer et al.	430	41	11/90
V	BD .	5,234,566	8/93	Osman et al.	204	403	4/91

OC-71565.1

EXAMINER:

Ash Masler

DATE CONSIDERED: 5/8/0/

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

?		09/490965	
FORM PTO-1449	ATTY. DOC NO. 244/299	SERIAL NO.	
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	APPLICANT: Heller et al.	Bucan	•
(Use several sheets if necessary)	FILING DATE: July 22, 1999	GROUP: 111 1634 163 /	
		00	

		(A)	The COMPANY				
am	BE	5,242,797	THAT SALES	Hirshfeld	435	6	1/92
	BF	5,304,487	4/94	Wilding et al.	435	29	5/92
	BG	5,312,527	5/94	Mikkelsen et al.	205	777	10/92
	BH	5,433,819	7/95	McMeen	216	20	5/93
	BI	5,434,049	7/95	Okano et al.	435	6	2/93
	BJ	5,436,129	7/95	Stapleton	435	6	10/93
	BK	5,445,525	8/95	Broadbent et al.	439	64	5/94
	BL	5,464,517	11/95	Hjerten et al	204	183	1/95
	BM	5,468,646	11/95	Mattingly	436	501	1/95
	BN	5,516,698	5/96	Begg et al.	436	89	4/92
	ВО	5,527,670	6/96	Stanley	435	6	8/94
	BP	5,593,838	1/97	Zanzucchi et al	435	6	5/95
	BQ	5,605,662	2/97	Heller et al.	422	68	11/93
	BR	5,632,957	5/97	Heller et al.	422	68	9/94
	BS	5,653,939	8/97	Hollis et al.	422	50	8/95
	BT	5,660,701	8/97	Grushka et al.	204	451	2/96
	BU	5,681,751	10/97	Begg et al.	436	89	5/95
	BV	5,750,015	5/98	Soane et al	204	454	3/96
	BW	5,789,167	8/98	Konrad	435	6	2/96
V	BX	5,849,486	12/98	Heller et al.	435	6	8/96

		FO	REIGN PATEN	T DOCUMENTS		-		
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBC LASS	TRANSI YES	ATION NO
DM.	BY	0228075	7/87	EP (Dattagupta et al.)				
	BZ	2247889	3/92	GB (Stanley)				
1	CA	WO95/07363	3/95	PCT (Konrad)				
	CB	WO90/01564	2/90	PCT (Adams et al.)	T			
	CC	WO89/01159	2/89	PCT (Cornell et al.)				
	CD	WO93/22678	11/93	PCT (Hollis)				
4	CE	WO86/03782	7/86	PCT (Malcolm et al.)				

OC-71565.1

EXAMINER:

Andi Manshol

DATE CONSIDERED: 5/8/6/

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

FORM PTO-1449

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOC NO. 244/299

APPLICANT: Heller et al.

FILING DATE: July 22, 1999 SERIAL NO. 199/1958,788

GROUP: 631

FOREGROUPE TENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBC LASS	TRANSI YES	LATION NO
1M	CF	WO89/10977	11/89	PCT (Southern)				
1	CG	WO88/08528	11/88	PCT (Stanbro et al.)				
	CH	WO92/04470	3/92	PCT (Stanley)				
	CI	WO98/51819	11/98	PCT (Heller et al.)	,			
	CJ	WO96/01836	1/96	PCT (Heller et al.)				
	CK	WO98/01758	1/98	PCT (Kovacs)				
1/4	CL	2156074	10/85	UK (Palva et al.)			_	
V	CM	57087	87	Yugoslavia (Drmanac)		 		

OTHER D	OCUMEN	NTS (Including Author, Title, Date, Pertinent Pages, Etc.)
AM	CN	Abrams et al. "Comprehensive Detection of Single Base Changes In Human Genomic DNA Using Denaturing Gradient Gel Electrophoresis & a GC Clamp". <i>Genomics</i> , 7, 1990, 463-
(11)		475
1	CO Anand and Southern "Pulsed Field Gel Electrophoresis," Gel Electrophoresis of Nucleic	
		Acids - A Practical Approach, 2d. Ed., D. Rickwood and B.D. Hames (New York:IRL Press 1990), pp 101-123
	CP	Anderson and Young, "Quantitative Filter Hybridization," Nucleic Acid Hybridization - A
		Practical Approach, Eds. B.D. Hames and S.J. Higgins (Washington, D.C. :IRL Press 1985) pp 73-111
	CQ	Bains, "Setting a Sequence to Sequence a Sequence," Bio/Technology, 10:757-758 (1992)
	CR	Barinaga, "Will 'DNA Chip' Speed Genome Initiative?", Science, 253:1489 (1991)
	CS	Beattie et al., "Genosensor Technology," The 1992 San Diego Conference: Genetic
		Recognition, pp 1-5 (Nov, 1992)
	CT	Beltz et al., "Isolation of Multigene Families and Determination of Homologies by Filter
<u> </u>	OT I	Hybridization Methods," Methods in Enzymology, 100:266-285 (1983)
	CU	Brown et al. "Electrochemically Induced Adsorption of Radio-Labelled DNA on Gold and HOPG Substrates for STM Investigations". <i>Ultramicroscopy</i> , 38, 1991, 253-264
	CV	Conner et al., "Detection of Sickle Cell \beta^3-Globin Allele by Hybridization With Synthetic
		Oligonucleotides," Proc. Natl. Acad. Sci. USA, 80:278-282 (1983)
	CW	Drmanac et al., "Sequencing of Megabase Plus DNA by Hybridization: Theory of the
<u> </u>		Method," <u>Genomics</u> , 4:114-128 (1989)

OC-71565.1

EXAMINER:

"Isli Masslot

DATE CONSIDERED: 5/18/01

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

FORM PTO-1449

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOC NO. 244/299

APPLICANT: Heller et al.

FILING DATE: July 22, 1999



OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Drmanac et al., "DNA Sequence Determination by Hybridixation: A Strategy for Efficeint Large-Scale Sequencing," Science, 260: 1649-1652 (1993) Eggers et al. "Biochip Technology Development", BioChip Technology Development, CY Lincoln Laboratory Technical Report 901, Nov. 9, 1990 Fiaccabrino et al., "Array of Individually Addressable Microelectrodes", Sensors and CZActuators B, 18-19 (1994) 675-677 Fodor et al., "Multiplexed Biochemical Assays With Biological Chips," Nature, 364:555-556 DA Fodor et al., "Light-Directed, Spatially Addressable Parallel Chemical Synthesis," Science, DB 251:767-773 (1992) Horeisi, "Some Theoretical Aspects of Affinity Electrophoresis," Journal of DC Chromatography, 178:1-13 (1979) Horeisi et al., "Determination of Dissociation Constants of Lectin Sugar Complexes by DD Means of Affinity Electrophoresis, Biochimica at Biophysica Acta, 499:200-300 (1977) Kakerow et al., "A Monolithic Sensor Array of Individually Addressable Microelectrodes", DE Sensors and Actuators A, 43 (1994) 296-301 Mathews, Kricka. "Analytical Strategies For The Use Of DNA Probes". Analytical DF Biochemistry, 169, 1988, 1-25 Palecek. "New Trends in Electrochemical Analysis of Nucleic Acids". Bioelectrochemistry DG and Bioenergetics, 20, 1988, 179-194 Ranki et al., "Sandwich Hybridization as a Convenient Method for the Detection of Nucleic DH Acids in Crude Samples," Gene, 21:77-85 (1983) Saiki, "Amplification of Genomic DNA," PCR Protocols: A Guide to Methods and DI Applications, (Academic Press, Inc. 1990), pp 13-20 Southern et al., "Analyzing and Comparing Nucleic Acid Sequences by Hybridization to DJ

0	C.	-7	1	5	6	5	l	

EXAMINER:

DK

Ash Marlo

1017 (1992)

DATE CONSIDERED: 5/18/01

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Method", Proc. Natl. Acad. Sci. USA, 88:10089-93 (1991)

Arrays of Oligonucleotides Evaluation Using Experimental Models," Genomics, 13:1008-

Strezoska et al., "DNA Sequencing by Hybridization: 100 Bases Read by a Non-Gel-Based

LIST OF PATENTS AND OTHER ITEMS FOR APPLICA INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessar

ATTY. DOC NO. 244/299	SERIAL NO 09/358,78
APPLICANT: Heller et al.	CEI
FILING DATE:	ROW TI

OTHER D	OCUME	NTS (Including Author, Title, Date, Pertinent Pages, Etc.)
MA	DL	Wallace et al., "Hybridization of Synthetic Oligodexribonucleotides to φ x 174 DNA: The Effect of Single Base Pair Mismatch," <u>Nucleic Acid Res.</u> , 6:3543-3557 (1979)
	DM	Washizu, "Electrostatic Manipulatiaon of Biological Objects," <u>Journal of Electrostatics</u> , 25:109-123 (1990)
U	DN	Washizu and Kurosawa, "Electrostatic Manipulation of DNA in Microfabricated Structures," IEEE Transactions on Industry Applications, 26:1165-1172 (1990)

OC-71565.1

EXAMINER:

DATE CONSIDERED: 5/18/01

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.